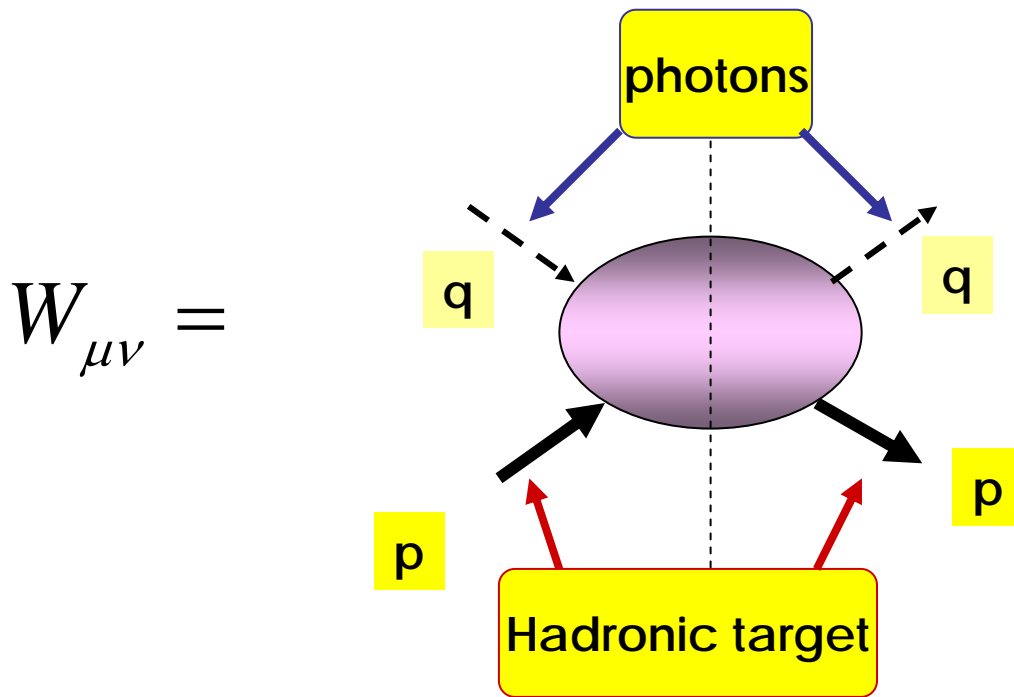


Orsay, 22 Nov 2010

**B. I. Ermolaev**

**Requirements for initial parton densities  
following from factorization**

**talk based on results obtained in collaboration with  
M. Greco and S.I. Troyan**



$$W_{\mu\nu} = \sum P_{\mu\nu}^a f_a(x, Q^2) \quad x = Q^2 = 2pq$$

Projection operators

Structure functions

Φορ εξαμπλε:

$$W_{\mu\nu}^{unpol} = \left( -g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \frac{1}{pq} \left( p_\mu - q_\mu \frac{pq}{q^2} \right) \left( p_\nu - q_\nu \frac{pq}{q^2} \right) F_2(x, Q^2)$$

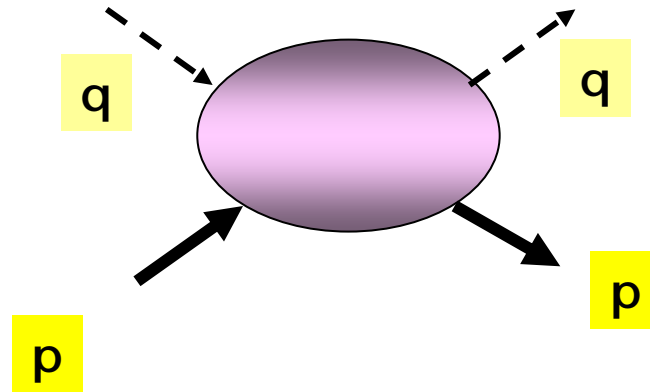
## Optical Theorem:

forward Compton scattering  
amplitude

$$W_{\mu\nu} = \frac{1}{\pi} \text{Im} A_{\mu\nu} \quad \longrightarrow \quad A_{\mu\nu} = \sum P_{\mu\nu}^r A_r(x, Q^2)$$

projection operators | invariant amplitudes

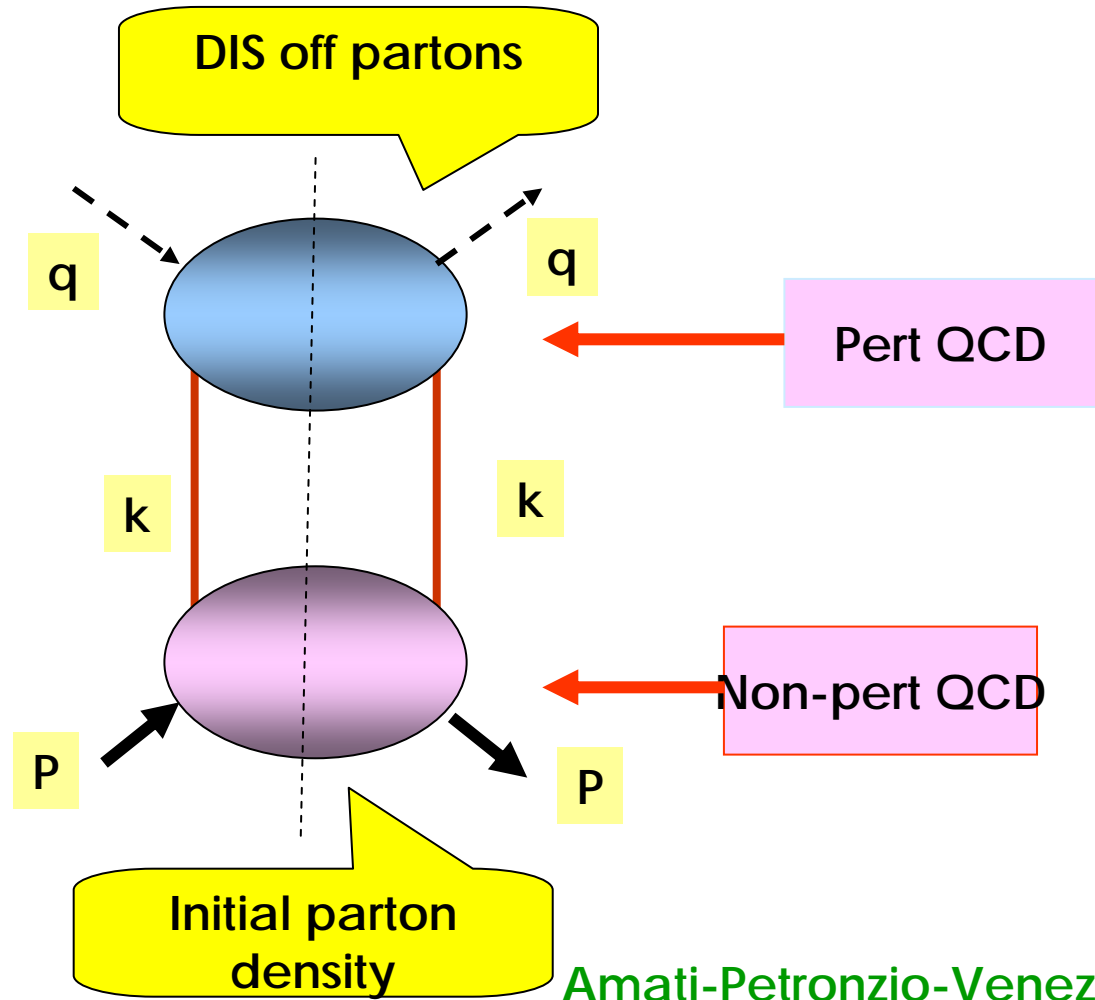
$$W_{\mu\nu} = \frac{1}{\pi} \text{Im}$$



$$f_r = \frac{1}{\pi} \text{Im} A_r$$

Factorization:

$$W_{\mu\nu} = \sum$$



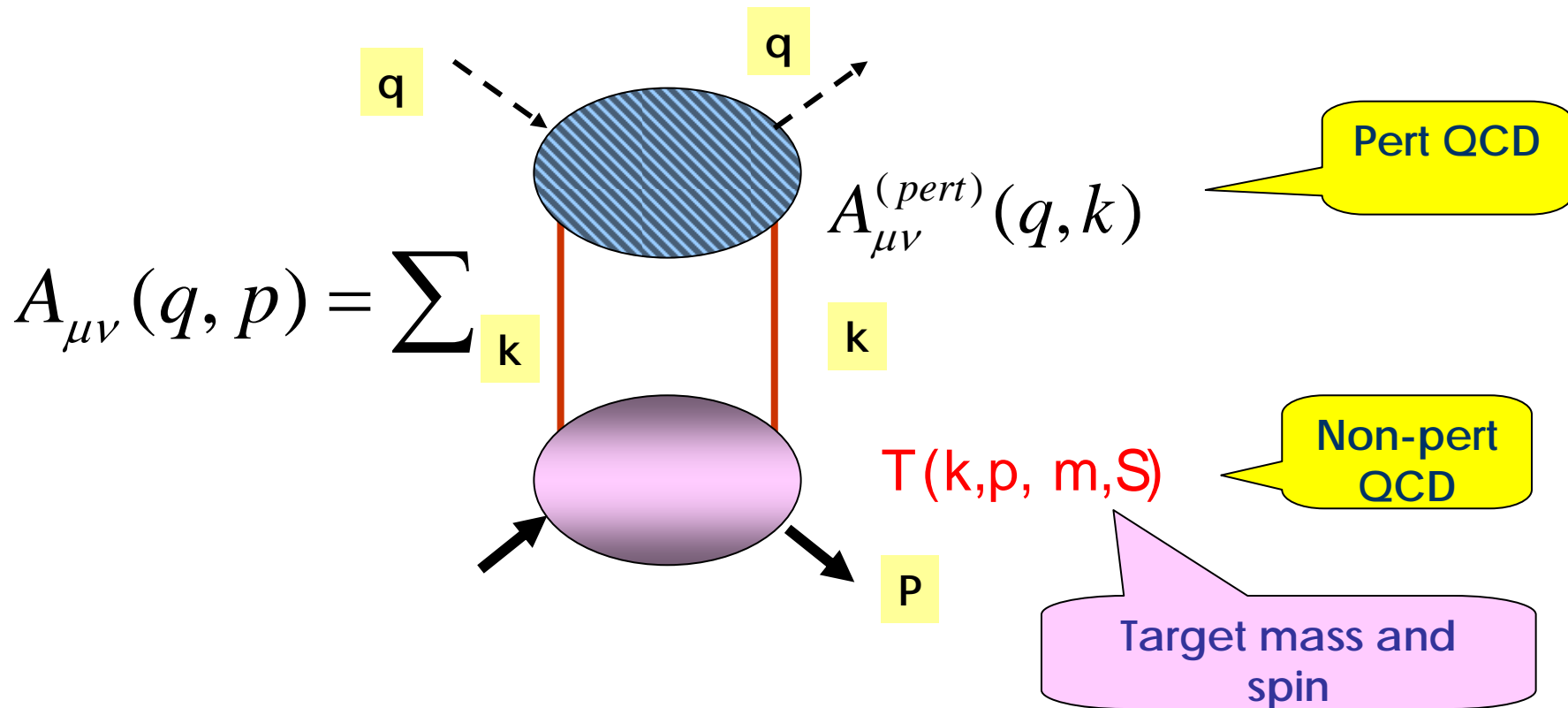
Collinear factorization (for DGLAP)

Amati-Petronzio-Veneziano, Efremov-Radyushkin, Libby-Sterman, Brodsky-Lepage,..

$k_T$ -factorization (when BFKL is used)

Catani-Ciafaloni-Hautmann

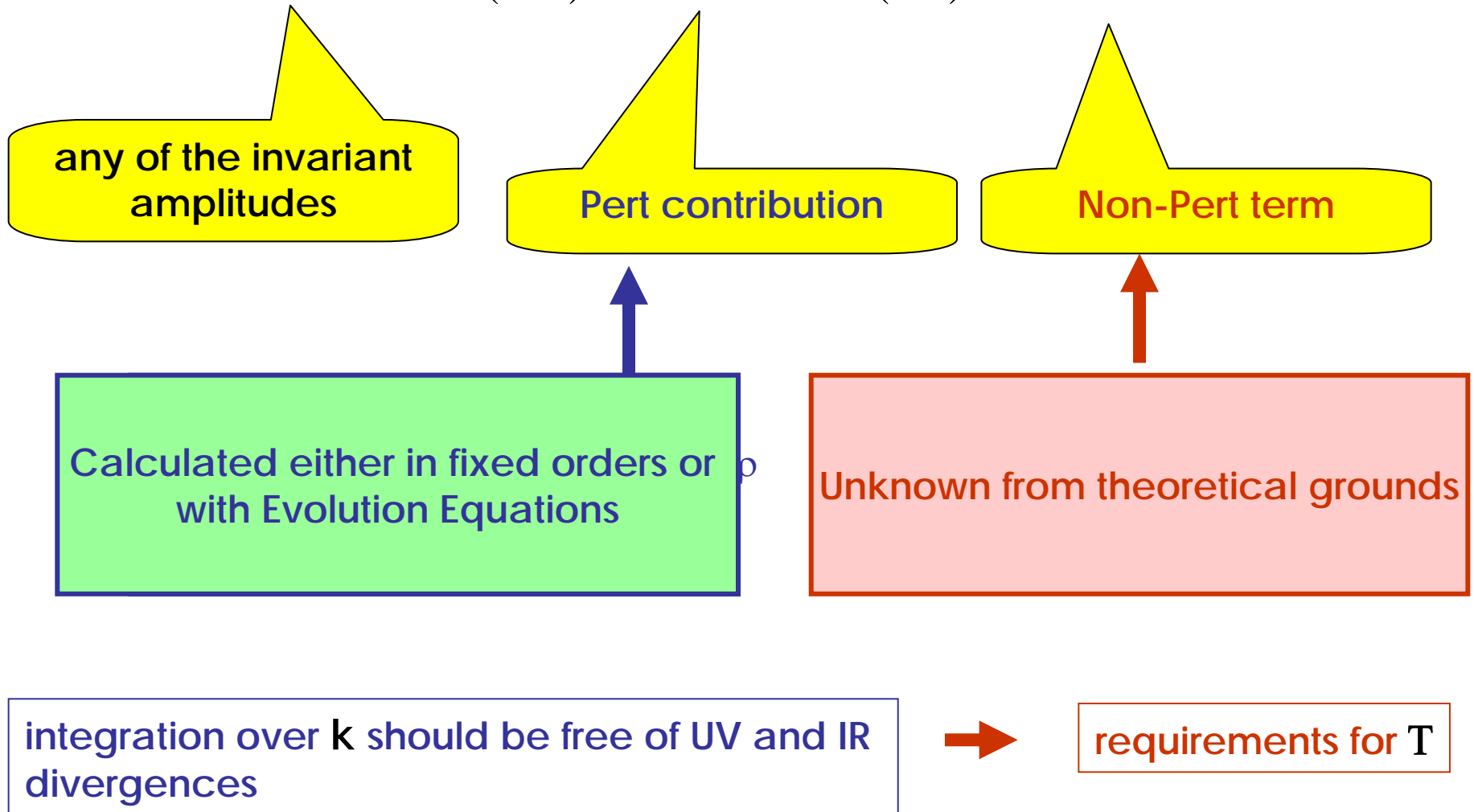
## Amplitude of forward Compton scattering



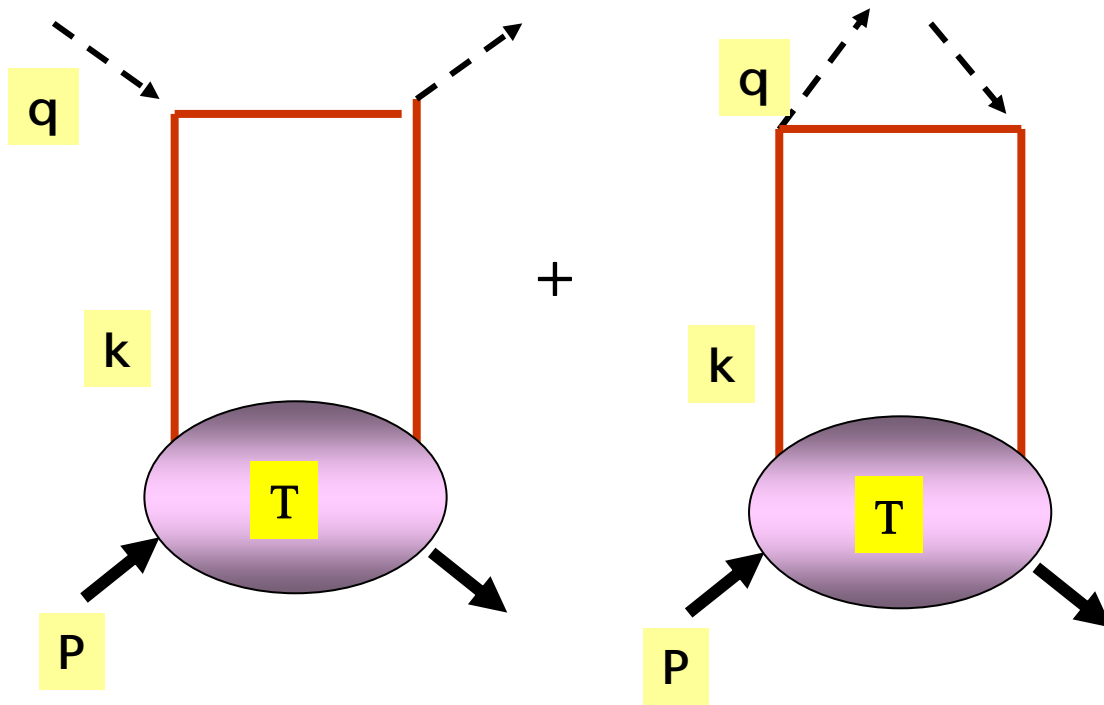
$$A_{\mu\nu}(q, p) = \int \frac{d^4 k}{(2\pi)^4} A_{\mu\nu}^{(pert)}(q, k) \frac{1}{(k^2)^2} T(k, p)$$

K acts as IR cut-off for IR-sensitive contributions

$$A(q, p) = \int \frac{d^4 k}{(2\pi)^4} A^{(pert)}(q, k) \frac{1}{(k^2)^2} T(k, p)$$



## Born approximation



UV behavior: At Euclidean  $k$   $d^4k = d\Omega k^3 dk$

So, at large  $k$   $A \sim \int dk \frac{k^3}{k^3} T(k) \rightarrow T \sim k^{-1-h} \quad h > 0$

In Pert QCD T is gluon propagator:  $T = 1/k^2$

In Minkowsky space:

Sudakov  
parameterization

$$k = -\alpha p + \beta (q + xp) + k_{\perp}$$

So that

$$k^2 = -\alpha\beta w - k_{\perp}^2, \quad 2pk = -\alpha w, \quad 2qk = (\beta + x\alpha)w$$

$$w = 2pq$$

$$A_{Born}^{(pert)} = \frac{\gamma_{\nu}(\hat{q} + \hat{k})\gamma_{\mu}}{(q+k)^2} + \frac{\gamma_{\mu}(-\hat{q} + \hat{k})\gamma_{\nu}}{(q-k)^2}$$



At large  $\alpha$

$$\int d\alpha \frac{1}{\widehat{k}} A_{\text{Born}}^{(\text{pert})}(q, k) \frac{1}{\widehat{k}} T(k, p) \sim \int d\alpha \frac{\alpha^3}{\alpha^3} T(k, p)$$

$T \sim \alpha^{-1-h}$

$h > 0$

$$T(p, k) = T((p+k)^2, k^2) = T(w\alpha, (w\alpha\beta + k_\perp^2))$$

### Beyond the Born approximation

$$A(q, p) = \int \frac{d^4 k}{(2\pi)^4} \underbrace{A^{(\text{pert})}(q, k)} \frac{B(k)}{k^2 k^2} \underbrace{T(k, p)}$$

where

$$B(k) \approx (\alpha^2 + \beta^2)w + k_\perp^2$$

perturbative

non-pert

In the first place consider Perturbative amplitude  $A^{(pert)}$

$$A^{(pert)}(q, k) = A^{(pert)}((q+k)^2, \underline{k^2}, q^2)$$

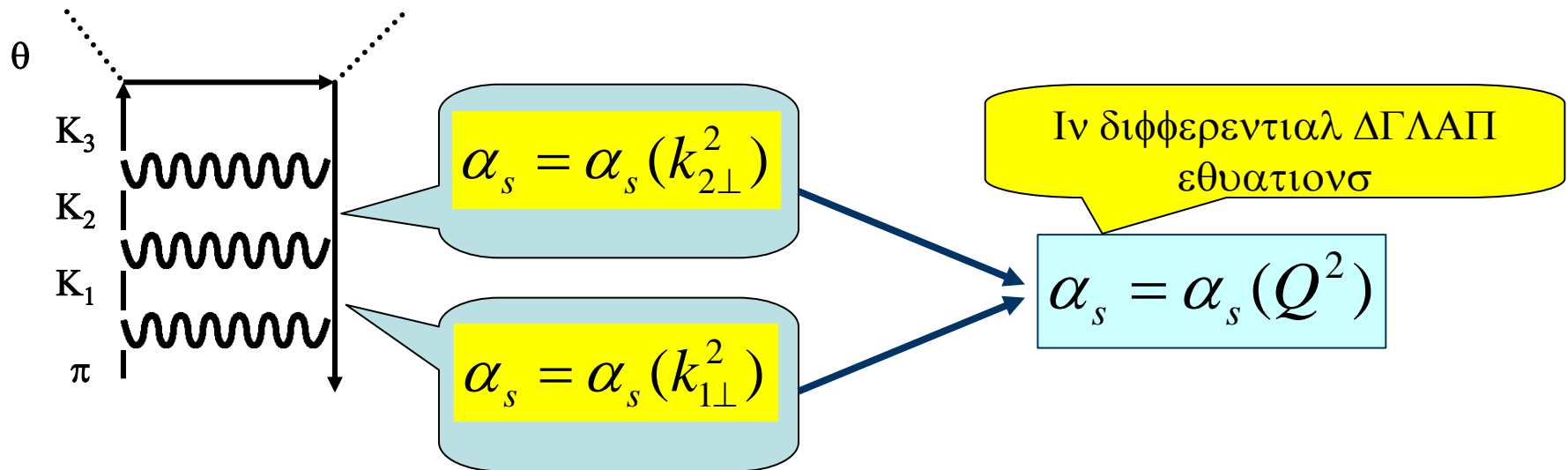
$k^2$  plays the role of IR cut-off for IR-dependent terms in  $A^{(pert)}$

Sources for  $k^2$  -dependence:

A:  $k^2$  acts as IR cut-off to regulate IR singularities in integrals,  
i.e. acts as the lowest integration limit

B. Treatment of QCD coupling

## Parameterization of QCD coupling



However, this parametrization is approximation. Analysis shows that in DGLAP expressions  $\alpha_s(k_{\perp}^2)$  should be replaced by a more complicated expression:  $\alpha_s(k_{\perp}^2) \rightarrow \alpha_s^{eff}$

$$\alpha_s^{eff} = \alpha_s(\mu^2) + \frac{1}{\pi b} \left[ \arctan\left(\frac{\pi}{\ln(k_\perp^2 / \beta \Lambda^2)}\right) - \arctan\left(\frac{\pi}{\ln(\mu^2 / \Lambda^2)}\right) \right]$$

IR -dependent terms

Ermolaev - Troyan

$\mu$  IR cut-off. In order to be in Perturbative regime  
It should be large enough:  $\mu \gg \Lambda$

when  $\ln(\mu^2 / \Lambda^2) \gg \pi \rightarrow \arctan x \approx x$

$$\alpha_s^{eff} \approx \alpha_s(\mu^2) + \frac{1}{\pi b} \left[ \frac{\pi}{\ln(k_\perp^2 / \beta \Lambda^2)} - \frac{\pi}{\ln(\mu^2 / \Lambda^2)} \right] = \alpha_s(k_\perp^2 / \beta)$$

practical estimate for condition

$$\mu^2 \gg \Lambda^2 e^\pi \approx 23 \Lambda^2$$



$$R(\mu) = \frac{(1/\pi) \arctan(\pi/l) - 1/l}{\arctan(1/l)}, \quad l = \ln(\mu^2 / \Lambda^2)$$

$$R = 5\%$$



$$\mu^2 = \Lambda^2 2.810^3 \approx 28 \text{ GeV}^2$$

$$R = 10\%$$



$$\mu^2 = \Lambda^2 243 \approx 2.4 \text{ GeV}^2$$

$$R = 50\%$$



$$\mu^2 = \Lambda^2 8.72 \approx 0.87 \text{ GeV}^2$$

minimal option

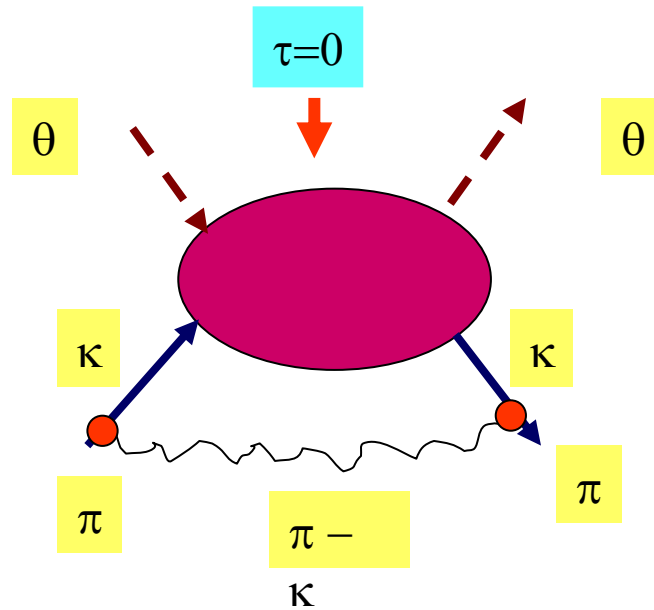
at  $\Lambda = 0.1 \text{ GeV}$

close to conventional option 1 GeV<sup>2</sup> !!

More realistic would be to change 0.1 GeV for 0.5 which causes increase of  $\mu$

# PROOF

## Parameterization of $\alpha_s$ in Regge kinematics



$$M_s = \frac{i}{4\pi^2} \int d\alpha d\beta dk_{\perp}^2 M(2qk, Q^2, k^2) \frac{sk_{\perp}^2}{(k^2 + i\varepsilon)^2} \frac{\alpha_s((p-k)^2)}{(p-k)^2 + i\varepsilon}$$

$$k = -\alpha(q + xp) + \beta p + k_{\perp}$$

$$k^2 = -s\alpha\beta - k_{\perp}^2, \quad (p-k)^2 = s\alpha - s\alpha\beta - k_{\perp}^2$$

$$m^2 \equiv (p - k)^2$$



$$s\alpha = \frac{m^2 + k_{\perp}^2}{1 - \beta}$$

$$M_s = \frac{i}{4\pi^2} \int d\beta dk_{\perp}^2 (1 - \beta) I(s, Q^2, \beta, k_{\perp}^2)$$

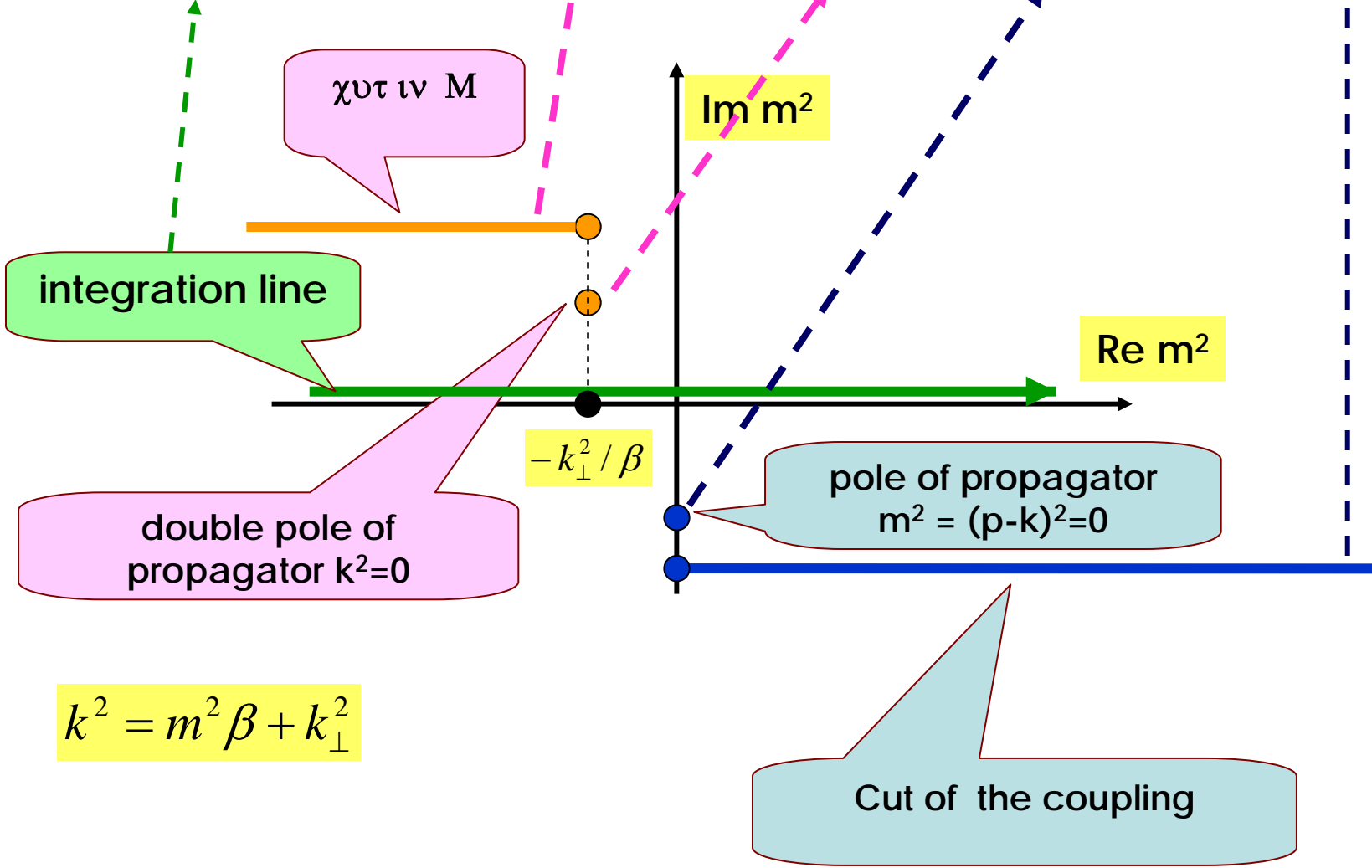
ωηερε

$$I = \int_{-\infty}^{\infty} dm^2 M(s, Q^2, (m^2 \beta + k_{\perp}^2)) \frac{k_{\perp}^2}{(m^2 \beta + k_{\perp}^2 - i\varepsilon)^2} \frac{\alpha_s(m^2)}{(m^2 + i\varepsilon)}$$

Before integrating over  $m^2$ , let us study singularities of the integrand

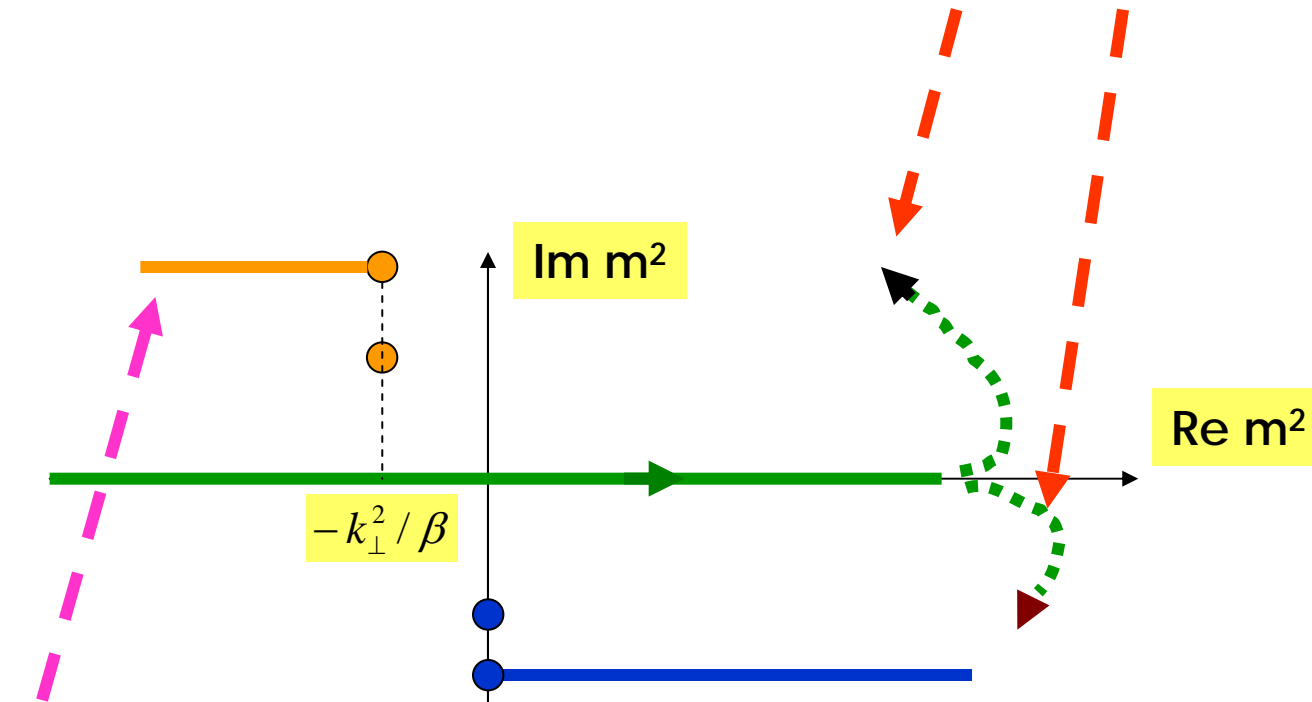
# singularities of the integrand in $\mu^2$

$$I = \int_{-\infty}^{\infty} dm^2 M(s, Q^2, (m^2 \beta + k_{\perp}^2)) \frac{k_{\perp}^2}{(m^2 \beta + k_{\perp}^2 - i\varepsilon)^2} \frac{\alpha_s(m^2)}{(m^2 + i\varepsilon)}$$





It is convenient to perform integration over  $m^2$  with using Cauchy theorem.  
the integration contour has to be closed either up or down



Closing up involves dealing with the cut of M

Closing down involves dealing with the cut of the coupling

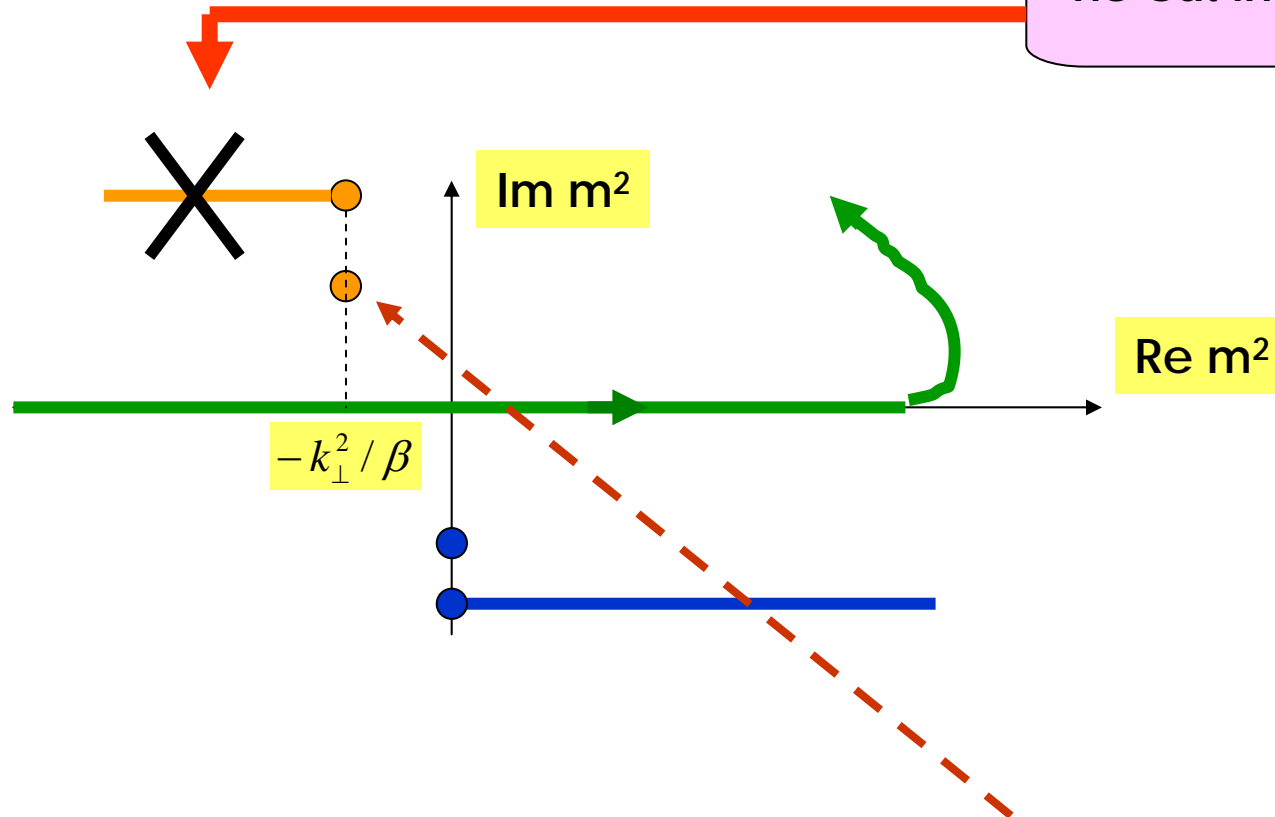
Approximation:

$$M(s, \dots, k^2) = M(s, \dots, m^2 \beta + k_{\perp}^2) \approx M(s, \dots, k_{\perp}^2)$$

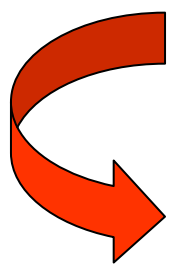
Assumption:

$$m^2 \beta \ll k_{\perp}^2$$

no cut in  $\mu^2$



allows to close up the contour and deal with the pole only:


$$m^2 = -k_{\perp}^2 / \beta$$
$$\alpha_s = \alpha_s (-k_{\perp}^2 / \beta)$$

**CONTRADICTION** between the assumption and calculation:

$$m^2 \beta \ll k_{\perp}^2$$

assumption

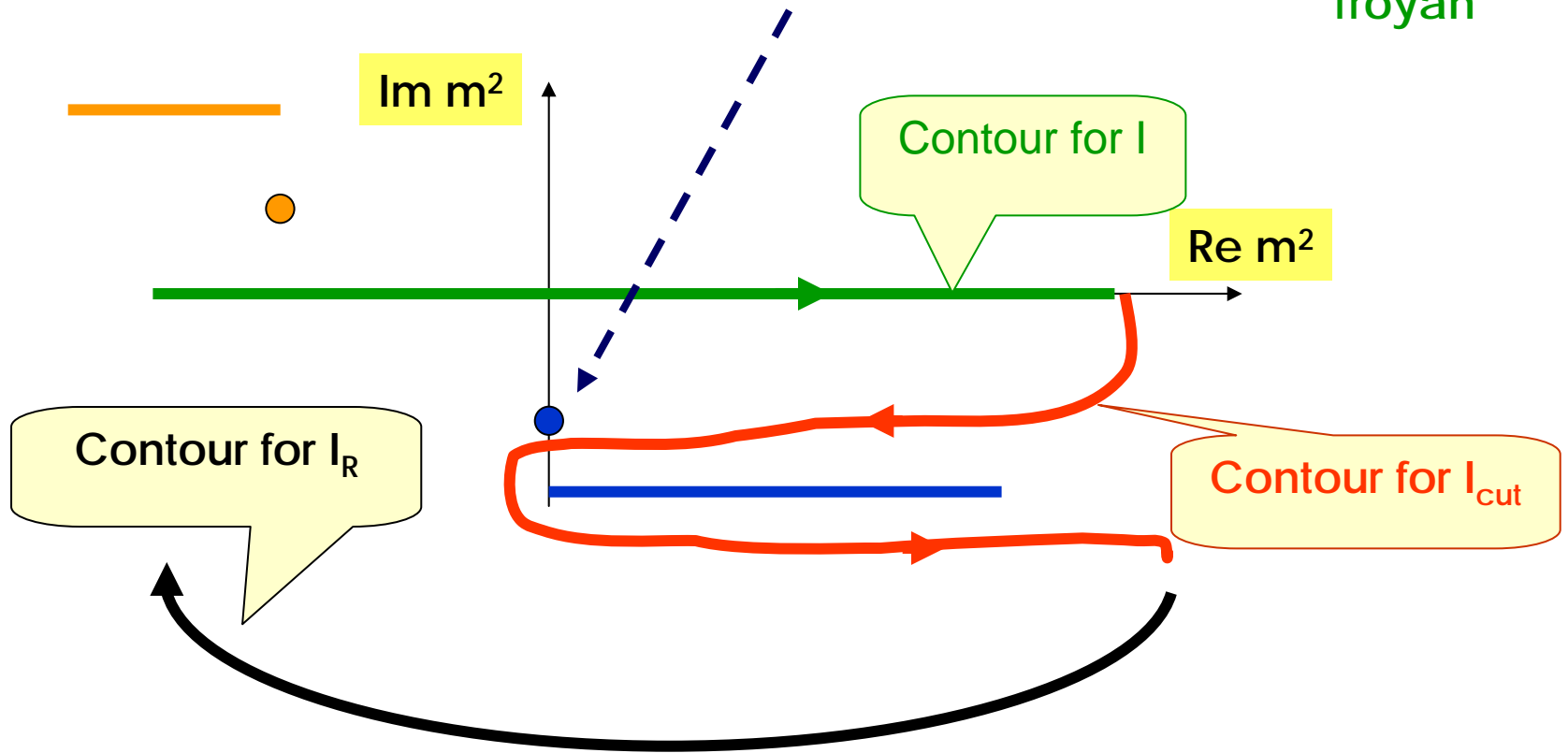
$$m^2 \beta + k_{\perp}^2 = 0$$

residue in the pole

Therefore the result  $\alpha_s = \alpha_s (-k_{\perp}^2 / \beta)$  should be revised

we close the contour down to avoid dealing with singularities of M

Ermolaev-Troyan



Cauchy theorem:

$$I_C = I + I_R + I_{cut} = -2\pi i \text{ res in the pole at } m^2 = 0$$

$$I_C = I + I_R + I_{cut} = -2\pi i \frac{(1-\beta)}{k_\perp^2} M(s, Q^2, -k_\perp^2 / (1-\beta)) \alpha_s(\mu^2)$$

$$\mu^2 \gg \Lambda^2$$

Introduced to regulate IR region

$I_R \rightarrow 0$  when  $R \rightarrow \infty$

$$I_{cut} = -2i \int_{\mu^2}^{\infty} dm^2 M(s, Q^2, (m^2 \beta + k_\perp^2)) \frac{(1-\beta)k_\perp^2}{(m^2 \beta + k_\perp^2 + i\varepsilon)^2} \frac{\text{Im} \alpha_s(m^2)}{(m^2 + i\varepsilon)}$$

**Assumption:** if we assume that the essential region is

$$k_\perp^2 \gg m^2 \beta$$

$$I_{cut} \approx -2i \frac{\pi}{b} \frac{(1-\beta)}{k_\perp^2} M(s, Q^2, k_\perp^2) \int_{\mu^2}^{k_\perp^2 / \beta} \frac{dm^2}{m^2} \frac{1}{[\ln(m^2 / \Lambda^2) + \pi^2]}$$

$$I = -2\pi i \frac{(1-\beta)}{k_{\perp}^2} M(s, Q^2, k_{\perp}^2) \alpha_s^{eff},$$

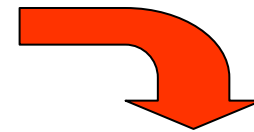
$$\alpha_s^{eff} = \alpha_s(\mu^2) + \frac{1}{\pi b} \left[ \arctan\left(\frac{\pi}{\ln(k_{\perp}^2 / \beta \Lambda^2)}\right) - \arctan\left(\frac{\pi}{\ln(\mu^2 / \Lambda^2)}\right) \right]$$

$$= \alpha_s(\mu^2) + \frac{1}{\pi b} \left[ \arctan(\pi b \alpha_s(k_{\perp}^2 / \beta)) - \arctan(\pi b \alpha_s(\mu^2)) \right]$$

$$\ln(\mu^2 / \Lambda^2) \gg \pi$$



$$\alpha_s^{eff} \approx \alpha_s(k_{\perp}^2 / \beta)$$



When additionally

$$x \sim 1$$



$$\beta \sim 1$$



$$\alpha_s(k_{\perp}^2 / \beta) \approx \alpha_s(k_{\perp}^2)$$

DGLAP region

DGLAP parameterization

Therefore

$$\alpha_s^{eff} \approx \alpha_s(k_{\perp}^2)$$

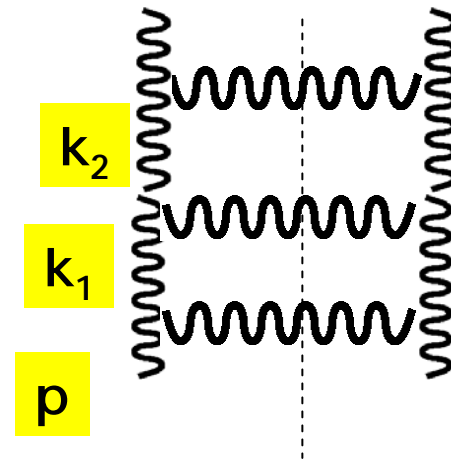
only when  $x \sim 1$  and  $\mu^2 \gg \Lambda^2 e^{\pi}$

# Treatment of gluon ladders: vertical propagators are IR-divergent

## First loop

DGLAP	LL
$\int_{\mu^2}^{Q^2} \frac{dk_{\perp}^2}{k_{\perp}^2}$	$\int_{\mu^2}^w \frac{dk_{\perp}^2}{k_{\perp}^2}$

identical IR -dependence



## Second loop

DGLAP	LL
$\int_{k_{1\perp}^2}^{Q^2} \frac{dk_{2\perp}^2}{k_{2\perp}^2} \int_x^1 \frac{d\beta_2}{\beta_2}$	$\int_{k_{1\perp}^2}^w \frac{dk_{2\perp}^2}{k_{2\perp}^2} \int_{k_{1\perp}^2/w}^{\beta_1} \frac{d\beta_2}{\beta_2} + \int_{\mu^2}^{k_{1\perp}^2} \frac{dk_{2\perp}^2}{k_{2\perp}^2} \int_{k_{1\perp}^2/w}^{\beta_1 k_{2\perp}^2 / k_{1\perp}^2} \frac{d\beta_2}{\beta_2}$

no IR -dependence

DGLAP-like term

new term: IR -dependence

the same in higher loops

There are two different situations beyond Born approximation:

---

$$\text{Im } A \sim F_1^{\text{singlet}}$$



vacuum numbers in t-channel

Refer as singlet  $A_S$

---

$$\text{Im } A \sim F_1^{NS}, g_1^S, g_1^{NS}$$



non-vacuum numbers in t-channel

Refer as non-singlet  $A_{NS}$



singlet and non-singlet have different IR-sensitive contributions:

$$A_S^{(pert)} = \left( \frac{w\beta}{k^2} \right) M_S \left( \ln(w\beta / k^2), \ln(Q^2 / k^2) \right)$$

$$A_{NS}^{(pert)} = M_{NS} \left( \ln(w\beta / k^2), \ln(Q^2 / k^2) \right)$$

Perturbative contributions **M** are different for different amplitudes and in different approaches but their arguments are always the same

$$M = \sum C_{kl} \ln^k(w\beta / k^2) \ln^l(Q^2 / k^2) + \text{non-logarithmic contributions}$$

$$k^2 = -\alpha\beta w - k_{\perp}^2$$

Do not involve  
powers  $\alpha^n$

$$A_S = \int dk_{\perp}^2 \frac{d\beta}{\beta} d\alpha \left( \frac{w\beta}{k^2} \right) M_S \left( \ln(w\beta/k^2), \ln(Q^2/k^2) \right) \frac{B(k)}{(k^2)^2} T_S(w\alpha, k^2)$$

$$A_{NS} = \int dk_{\perp}^2 \frac{d\beta}{\beta} d\alpha M_{NS} \left( \ln(w\beta/k^2), \ln(Q^2/k^2) \right) \frac{B(k)}{(k^2)^2} T_{NS}(w\alpha, k^2)$$

Now let us integrate over  $\alpha$  neglecting  $\alpha$  -dependence in logs

$$A_S \longleftrightarrow \int d\alpha \frac{\alpha^2}{\alpha \alpha^2} T_S(\alpha)$$

$$A_{NS} \longleftrightarrow \int d\alpha \frac{\alpha^2}{\alpha^2} T_{NS}(\alpha)$$

to arrive at

Obligatory for integrability

$$T_S \sim \alpha^{-h}, \quad T_{NS} \sim \alpha^{-1-h}$$

## Application to DIS structure functions

$$f_S = \int dk_{\perp}^2 \frac{d\beta}{\beta} w\beta f_S^{(pert)}(\ln(w\beta/k^2), \ln(Q^2/k^2)) \int d\alpha \frac{B(k)}{(k^2)^3} \text{Im}T_S(w\alpha, k^2)$$

stands for singlet  $F_1$  only

$$k^2 = -\alpha\beta w - k_{\perp}^2$$

$$f_{NS} = \int dk_{\perp}^2 \frac{d\beta}{\beta} f_{NS}^{(pert)}(\ln(w\beta/k^2), \ln(Q^2/k^2)) \int d\alpha \frac{B(k)}{(k^2)^2} \text{Im}T_{NS}(w\alpha, k^2)$$

any of  $F_1^{NS}$ ;  $F_2$ ;  $g_1^S$ ;  $g_1^{NS}$ ;  $g_2$

No factorization in  $\alpha \beta$

Factorization is only when

$$\alpha\beta w \ll k_{\perp}^2$$

When it is accepted, we arrive at the standard expressions

Singlet

$$f_S = \int \frac{dk_{\perp}^2}{k_{\perp}^2} \frac{d\beta}{\beta} \left( \frac{w\beta}{k_{\perp}^2} \right) f_S^{(pert)} \left( \ln(w\beta / k_{\perp}^2), \ln(Q^2 / k_{\perp}^2) \right) \Phi_S(\beta, k_{\perp}^2)$$

Non-singlet

$$f_{NS} = \int \frac{dk_{\perp}^2}{k_{\perp}^2} \frac{d\beta}{\beta} f_{NS}^{(pert)} \left( \ln(w\beta / k_{\perp}^2), \ln(Q^2 / k_{\perp}^2) \right) \Phi_{NS}(\beta, k_{\perp}^2)$$

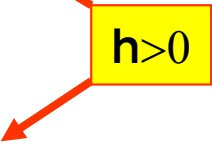
Where the singlet and non-singlet initial parton densities are

$$\Phi_S = \int_{k_{\perp}^2/w}^{k_{\perp}^2/w\beta} d\alpha \operatorname{Im} T_S(w\alpha, k_{\perp}^2)$$

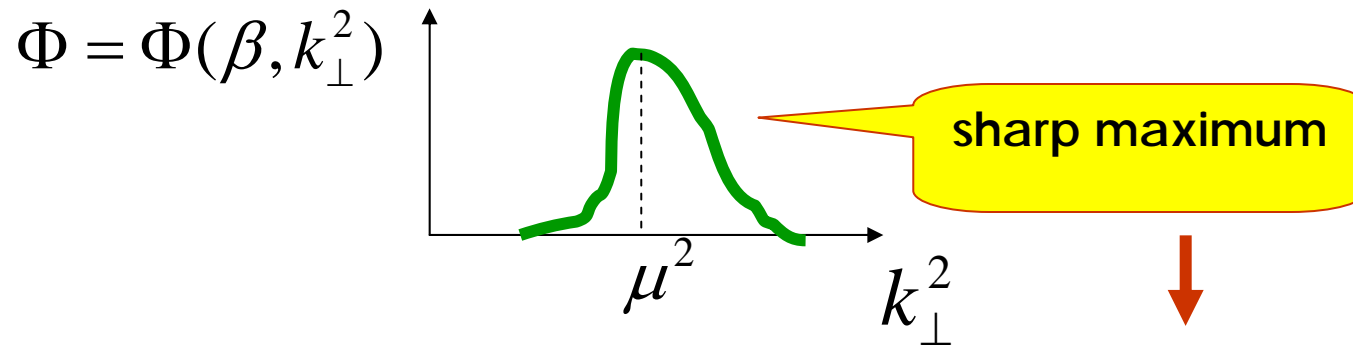
$$\Phi_{NS} = \int_{k_{\perp}^2/w}^{k_{\perp}^2/w\beta} d\alpha \operatorname{Im} T_{NS}(w\alpha, k_{\perp}^2)$$

$$T_S \sim \alpha^{-h} \quad \longrightarrow \quad \Phi_S \sim \beta^{-1+h}$$

$$T_{NS} \sim \alpha^{-1-h} \quad \longrightarrow \quad \Phi_{NS} \sim \beta^h$$



**Transition to DGLAP: Collinear factorization**



For instance:  $\Phi = \tilde{\Phi}(\beta, k_{\perp}^2) \delta(k_{\perp}^2 - \mu^2)$

$$f = \int \frac{d\beta}{\beta} f^{(pert)}(\ln(w\beta / \mu^2), \ln(Q^2 / \mu^2)) \Phi(\beta, \mu^2)$$

Explicit dependence on  $\mu^2$  even after convoluting pert and non-pert

However, there is no  $\mu^2$  dependence in the case of DGLAP because DGLAP collects **leading logs of  $Q^2$  only**, Sub-leading logs will be  $\mu^2$ -dependent

$$\ln^n(Q^2 / \mu_1^2) = \ln^n(Q^2 / \mu_2^2)$$

+ sub-leading

## Comparison to standard DGLAP fits:

Altarell-Bal-Forte-Ridolfi, Leader-Sidorov-Stamenov,  
Blumlein-Botcher, Hirai,...

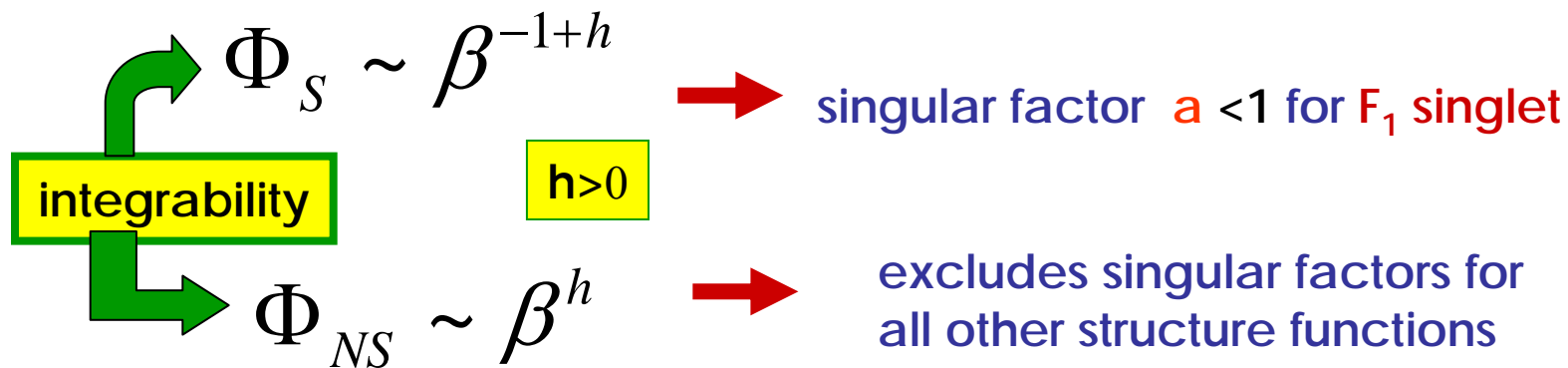
Typical expressions:

parameters  $a, b, c, d > 0$

$$\delta q, \delta g = x^{-a} (1-x)^b (1+cx^d)$$

singular factor

regular terms



However in practice these requirements are violated

Reason why singular factors are necessary in DGLAP at small  $\xi$

Most important  
at small  $x$

DGLAP does not include resummations of  $\sim \ln^k(1/x)$ , so without singular factors  $x^{-a}$  DGLAP expressions grow too slowly to match experiment

Factors  $x^{-a}$  bring the appropriate growth at small  $x$

They mimic resummation of  $\sim \ln^k(1/x)$  and eventually, at  $x \rightarrow 0$   
they change the classic DGLAP asymptotics  $f \sim \exp\left[\sqrt{\ln(1/x)}\right]$   
for the Regge one  $f \sim x^{-a}$

When the resummation is accounted for, they should be dropped,  
which simplifies fits



## Conclusion A (perturbative QCD)

A1. Strictly speaking, the QCD coupling in the Bethe-Salpeter equations for the scattering amplitudes/parton densities/structure functions cannot be factorized

A2. Factorization of the coupling is approximation and leads to converting  $\alpha_s$  into  $\alpha_s^{eff}$

A3. For the hard processes we confirm known result:

$$\alpha_s^{eff} = \alpha_s(k_{\perp}^2 / (1 - \beta)) \approx \alpha_s(k_{\perp}^2)$$

A4. For the Regge processes and evolution equations

$$\alpha_s^{eff} = \alpha_s(\mu^2) + \frac{1}{\pi b} \left[ \arctan(\pi b \alpha_s(k_{\perp}^2 / \beta)) - \arctan(\pi b \alpha_s(\mu^2)) \right]$$

and it explicitly depends on the IR cut-off/starting point of the  $Q^2$  -evolution i.e. exhibits really non-trivial IR -dependence

A5. Alternatively, one can use Mellin transform

## CONCLUSION B (Non-Perturbative part)

Integrability of forward Compton amplitudes imposes the following restrictions on DGLAP fits for initial parton densities:

- B1. Singular factors  $x^{-a}$  can be used in fits for **singlet  $F_1$  only**, providing  **$a < 1$**
- B2. Singular factors should not be used for all other structure functions. Instead, one should use total resummation of
- B3. Necessity to use singular factors is a good indication that important logs of  $x$  are missing from theoretical expressions

In general, the use of collinear factorization brings dependence factorization scale. However, DGLAP -expressions for structure functions do not depend on it because DGLAP deals with leading logs of  $Q^2$  and neglect sub-leading logs